



THE UNITED REPUBLIC OF TANZANIA

MINISTRY OF LIVESTOCK AND FISHERIES

**MINISTRY OF BLUE ECONOMY AND FISHERIES-
ZANZIBAR**

DEEP SEA FISHING AUTHORITY

**TANZANIA SCALING-UP SUSTAINABLE MARINE
FISHERIES AND AQUACULTURE MANAGEMENT
(TASFAM) PROJECT (P179969)**

Appraisal

**ENVIRONMENTAL AND SOCIAL
COMMITMENT PLAN (ESCP)**

February , 2025

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The United Republic of Tanzania (the Recipient) will implement the Tanzania Scaling-Up Sustainable Marine Fisheries and Aquaculture Management (TASFAM) Project (the Project), with the involvement of the Ministry of Livestock and Fisheries (MLF), Ministry of Blue Economy and Fisheries-Zanzibar (MBEF) and Deep-Sea Fishing Authority (DSFA), as set out in the Financing Agreement, the Global Program for the Blue Economy (PROBLUE) Multi-Donor Trust Fund Grant Agreement, and the Project Agreements (the Agreements). The International Development Association (the Association) and the International Bank for Reconstruction and Development and the Association, acting as administrator of the PROBLUE Multi-Donor Trust Fund (the World Bank) have agreed to provide financing for the Project, as set out in Agreements.
2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Association and the World Bank. The ESCP is a part of the Agreements. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the Agreements.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, their respective timeframes; institutional, staffing, training, monitoring and reporting arrangements; and grievance management. The ESCP also sets out the environmental and social (E&S) documents that shall be prepared or updated, consulted, disclosed and implemented under the Project, consistent with the ESSs, in form and substance acceptable to the Association and the World Bank. Said E&S documents may be revised from time to time with prior written agreement by the Association and the World Bank. As provided for under the referred Agreements, the Recipient shall ensure that there are sufficient funds available to cover the costs of implementing the ESCP.
4. As agreed by the Association, the World Bank and the Recipient, this ESCP will be revised from time to time, if necessary, to reflect adaptive management of Project changes or unforeseen circumstances or in response to Project performance. In such circumstances, the Association, the World Bank, and the Recipient agree to update the ESCP to reflect these changes through an exchange of letters signed between the Association, the World Bank, and the Recipient's Representative specified in the Agreements. The Recipient shall promptly disclose the updated ESCP.
5. The subsection on "Indicators for Implementation Readiness" below identifies the actions and measures to be monitored to assess Project readiness to begin implementation in accordance with this ESCP. Nevertheless, all actions and measures in this ESCP shall be implemented as set out in the "Timeframe" column below irrespective of whether they are listed in the referred subsection.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
IMPLEMENTATION ARRANGEMENTS AND CAPACITY SUPPORT			
A	ORGANIZATIONAL STRUCTURE Maintain Project Implementation Units (PIUs) at each implementing agency with qualified staff and resources to support the management of environmental, social, health and safety (E&S) risks and impacts of the Project including one (1) environmental and one (1) social specialist for each MLF and MBEF and a Consultant to oversee E&S activities for DSFA.	Hire or appoint the E&S Staff as soon as possible and no later than 30 days after the Effective Date and thereafter maintain the PIUs and these positions throughout Project implementation.	MLF, MBEF, and DSFA
B	CAPACITY BUILDING PLAN/MEASURES a) Prepare and implement the capacity building plan. b) Training shall be provided to PIU staff, contractors, consultants, laborers, project workers, communities, and vulnerable women groups on but not limited to: <ul style="list-style-type: none"> • World Bank ESS. • Implementation of ESMF. • ESIA and ESMP. • Strategic Environmental Assessment (SEA) • Climate Change Mitigation and Adaptation. • Stakeholder mapping and engagement. • Operational Aspects of Grievance Management. • Specific aspects of environmental and social assessment and management. • Community health and safety. • GBV/SEA/SH risk management; and • Occupational health and safety. • Codes of conduct 	a) Prepare the capacity building plan no later than 60 days after the Effective Date and thereafter implement it throughout Project implementation". b) The training shall be conducted as part of Project preparation, on a biannual basis and when needed during Project implementation.	MLF, MBEF, and DSFA
MONITORING AND REPORTING			
C	REGULAR REPORTING Prepare and submit to the Association/World Bank regular monitoring reports on the environmental, social, health, and safety (E&S) performance of the Project. The reports shall include: <ul style="list-style-type: none"> • Status of preparation and implementation of E&S documents required under the ESCP. • Summary of stakeholder engagement activities carried out as per the Stakeholder Engagement Plan. 	Submit monthly reports to the Association/World Bank throughout Project implementation, commencing after the Effective Date. Submit each report to the Association/World Bank no later than 14 days after the end of each reporting period.	MLF, MBEF, and DSFA

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<ul style="list-style-type: none"> · Complaints submitted to the grievance mechanism(s), the grievance log, and progress made in resolving them. · E&S performance of contractors and subcontractors as reported through monthly contractors' and supervision firms' reports. · Number and status of resolution of incidents and accidents reported under action E below. 		
D	CONTRACTORS' MONTHLY REPORTS Require contractors and supervising firms to provide monthly monitoring reports on E&S performance in accordance with the metrics specified in the respective bidding documents and contracts and submit such reports to the Association/World Bank through the implementing agencies.	Submit monthly reports to the Association/World Bank through implementing agencies as annexes to the reports to be submitted under action C above.	MLF, MBEF, DSFA and Contractor
E	INCIDENTS AND ACCIDENTS Notify the Association/World Bank of any incident or accident relating to the project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including those resulting in death or significant injury to workers or the public; acts of violence, discrimination or protest; unforeseen impacts to cultural heritage or biodiversity resources; pollution of the environment; dam failure; forced or child labor; displacement without due process (forced eviction); allegations of sexual exploitation or abuse (SEA), or sexual harassment (SH); or disease outbreaks. Provide available details of the incident or accident to the Association/World Bank upon request. Arrange for an appropriate review of the incident or accident to establish its immediate, underlying and root causes. Prepare, agree with the Association/World Bank, and implement a Corrective Action Plan that sets out the measures and actions to be taken to address the incident or accident and prevent its recurrence.	Notify the Association/World Bank no later than 48 hours after learning of the incident or accident. Provide available details upon request. Provide review report and Corrective Action Plan to the Association/World Bank no later than 10 days following the submission of the initial notice, unless a different timeframe is agreed to in writing by the Association/World Bank.	MLF, MBEF, and DSFA
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	ENVIRONMENTAL AND SOCIAL ASSESSMENTS AND/OR PLANS 1. Implement the Environmental and Social Management Framework (ESMF) for the Project, consistent with the relevant ESSs.	1. Implement the ESMF, which was disclosed on [REDACTED] and thereafter implement the ESMF throughout Project implementation.	MLF, MBEF, and DSFA

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<ol style="list-style-type: none"> 2. Prepare and implement an Environmental and Social Impact Assessment (ESIA), which shall include a Cumulative Impacts/Risk Assessment, and corresponding Environmental and Social Management Plan (ESMP), for each of the Project activities that require an ESIA and ESMP, consistent with the relevant ESSs. 3. Prepare and implement a Strategic Environmental Assessment (SEA) for the Technical Assistance (TA) activities and updating and developing policies, plans and guideline studies. 4. Prepare and implement an Environmental Audit (EA) for the existing facilities to ascertain the existing (i.e. non-compliance and existing liabilities) and the potential direct and indirect risks due to future increase related to Project. 5. Implement measures identified in the assessment of the relevant legal framework and institutions to address gaps related to managing risks and impacts of fisheries enforcement activities, including Monitoring, Control and Surveillance (MCS), in a form and substance satisfactory to the Association/World Bank. 	<ol style="list-style-type: none"> 2. Prepare the ESIA and ESMP prior to launching the relevant contractors' bidding process, and thereafter implement the ESIA and ESMP throughout Project implementation. 3. Prepare the SEA prior to the commencement of TA, development and updating of plans, policies, and guidelines activities, and thereafter implement the SEA throughout Project implementation. 4. Prepare the EA prior to launching the relevant contractors' bidding process, and thereafter implement the EA throughout Project implementation. 5. Within a timeframe agreed with the Association/World Bank. 	
1.2	<p>MANAGEMENT OF CONTRACTORS</p> <p>Incorporate the relevant aspects of the ESCP, including, inter alia, the relevant subproject E&S instruments, the Labor Management Procedures, and the code of conduct, into the E&S specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply and that they require their subcontractors to comply with the E&S specifications of their respective contracts. Provide copies of the relevant contracts with contractors/subcontractors and supervision firms to the Association/World Bank.</p>	<p>As part of the preparation of procurement documents and respective contracts. Supervise contractors throughout Project implementation. Copies of relevant contracts provided to the Association/World Bank upon request.</p>	MLF, MBEF, and DSFA

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
1.3	<p>TECHNICAL ASSISTANCE</p> <p>Carry out the consultancies, studies (including feasibility studies, if applicable), capacity building, training, and any other technical assistance activities under the Project in accordance with terms of reference acceptable to the Association/World Bank, that are consistent with the ESSs. Thereafter prepare and finalize the outputs of such activities in compliance with the terms of reference.</p>	Throughout Project implementation.	MLF, MBEF, and DSFA
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT PROCEDURES</p> <p>Implement the Labor Management Procedures (LMP) for the Project.</p>	Implement the LMP, which was disclosed on [REDACTED] and thereafter implement the LMP throughout Project implementation.	MLF, MBEF, and DSFA
2.2	<p>OCCUPATIONAL HEALTH AND SAFETY MANAGEMENT PLAN</p> <p>Require contractors and subcontractors to prepare and implement OHS Management Measures in accordance with ESIA and corresponding ESMPs.</p>	Prepare the OHS Management Measures prior to commencement of construction/renovation activities and thereafter implement the measures throughout Project implementation.	Contractors and subcontractors
2.3	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS</p> <p>Establish and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.</p>	Establish grievance mechanism prior to engaging Project workers and thereafter maintain and operate it throughout Project implementation.	MLF, MBEF, and DSFA
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	<p>WASTE MANAGEMENT PLAN</p> <p>Incorporate waste management measures in the ESIA and corresponding ESMPs to be prepared under action 1.1.2 above.</p>	Same timeframe as for the preparation and implementation of the ESIA and ESMPs.	MLF, MBEF, and DSFA
3.2	<p>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</p> <p>Incorporate resource efficiency and pollution prevention and management measures in the ESMPs to be prepared under action 1.1.2 above.</p>	Same timeframe as for the preparation and implementation of the ESMPs.	MLF, MBEF, and DSFA

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	<p>TRAFFIC AND ROAD SAFETY</p> <p>Incorporate measures to manage traffic and road safety risks as required in the ESMPs to be prepared under action 1.1.2 above.</p> <p>Implement measures identified in the assessment of the relevant legal framework and institutions to address gaps related to managing risks and impacts of fisheries enforcement activities, including Monitoring, Control and Surveillance (MCS), in a form and substance satisfactory to the Association/World Bank.</p>	<p>Same timeframe as for the preparation and implementation of the ESMPs.</p> <p>Within a timeframe agreed with the Association/World Bank.</p>	MLF, MBEF, and DSFA Contractor
4.2	<p>COMMUNITY HEALTH AND SAFETY</p> <p>Assess and manage specific risks and impacts to the community arising from Project activities including the spread of diseases (such as HIV/AIDS, STDs, etc.) especially during the construction and operation phase of sub-projects, behavior of project workers, risks of labor influx, Infrastructure and Equipment Design and Safety, Safety of Services, Emergency Preparedness and Response etc. and include mitigation measures in the ESMPs to be prepared under action 1.1.2 above.</p>	Same timeframe as for the preparation and implementation of the ESMPs.	MLF, MBEF, and DSFA Contractor
4.3	<p>SEA AND SH RISKS</p> <p>Prepare and implement a SEA/SH Action Plan as part of the ESMF, to assess and manage the risks of GBV, SEA, and SH.</p>	Implement the GBV/SEA/SH Action Plan, which will be disclosed prior to commencement of civil works and thereafter implement the GBV/SEA/SH Action Plan throughout Project implementation.	MLF, MBEF, and DSFA
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
5.1	<p>PROCESS FRAMEWORK</p> <p>Implement the Process Framework (PF) for Project activities to regulate the use of Marine Parks or Marine Reserves (Mainland Tanzania); Marine Conservation Areas (Zanzibar), or as part of the implementation of FMPs, that may involve restrictions to natural resources and adverse livelihood impacts, consistent with ESS5.</p> <p>Conduct site-specific socio-economic assessments to determine whether there will be adverse impacts from proposed restrictions to natural resources and identify Project Affected Persons (PAPs) who will be impacted and be eligible for assistance at those sites.</p> <p>Develop and implement site-specific action plans containing the livelihood measures PAPs have selected to offset the identified impacts from the proposed restrictions.</p>	<p>Implement the PF which was disclosed on [REDACTED] and thereafter implement it throughout Project implementation.</p> <p>Same timeframe as for the implementation of the PF.</p> <p>Same timeframe as for the implementation of the PF.</p>	MLF, MBEF, and DSFA

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	BIODIVERSITY RISKS AND IMPACTS Prepare and implement a Biodiversity Management Plan (BMP) as part of the ESMPs in accordance with the guidelines of the ESIAs prepared for the Project, and consistent with ESS6.	Prepare and implement the BMP before commencement of subproject bidding process and thereafter implement the BMP throughout Project implementation.	MLF, MBEF, and DSFA
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
7.1	Not currently relevant		
ESS 8: CULTURAL HERITAGE			
8.2	CHANCE FINDS Describe and implement the chance finds procedures as part of the ESMPs of the Project.	Describe the chance find procedures in the ESMPs. Implement the procedures throughout Project implementation.	MLF, MBEF, and DSFA
ESS 9: FINANCIAL INTERMEDIARIES			
9.1	ENVIRONMENTAL AND SOCIAL MANAGEMENT SYSTEM (ESMS) Not currently relevant		
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	STAKEHOLDER ENGAGEMENT PLAN Implement the Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable, and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.	Implement the SEP which was disclosed on [REDACTED] and thereafter implement the SEP throughout Project implementation	MLF, MBEF, and DSFA

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
10.2	<p>PROJECT GRIEVANCE MECHANISM</p> <p>Establish, publicize, maintain, and operate an accessible grievance mechanism without retribution, including concerns and grievances filed anonymously, in, to receive and facilitate the resolution of concerns and grievances about the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and in a manner consistent with ESS10.</p> <p>The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p>	Establish and disseminate the grievance mechanism no later than 60 days after the Effective Date, and thereafter maintain and operate the mechanism throughout Project implementation.	MLF, MBEF, and DSFA
INDICATORS FOR IMPLEMENTATION READINESS			
<p>The following actions are indicators for implementation readiness:</p> <p>Action A: Each implementing agency to have qualified staff and resources to support the management of ESHS risks and impacts of the Project including 1 environmental and 1 social specialist and a consultant to oversee E&S activities for DSFA PIU</p>			